

Keith Loveland

KEITH LOVELAND – KEITH LOVELAND CONSULTING

Keith Loveland has been active in the financial services and securities industry since August of 1979 as an attorney, author, teacher, chairman of the investment committee for a mutual fund complex, chief operating officer of a securities broker-dealer, and chief compliance officer for several broker-dealers and investment advisors. For over 20 years, he has advised banks, broker-dealers, investment advisers, insurance companies, and closely held businesses, including acting as a member of several boards of directors.

Keith received his BA in Pre-Law & Philosophy from the University of Minnesota, Duluth, and his Juris Doctor from William Mitchell College of Law. He continued his education at Harvard University Law School, receiving a Certificate in Securities Regulation. Keith is also a graduate of the Katz Graduate School, University of Pittsburgh as an Accredited Investment Fiduciary Auditor (“AIFA”), and is a Certified Investments and Derivatives Auditor (“CIDA”).

Mr. Loveland was admitted to law practice in Minnesota on May 5, 1978. He is also admitted to Federal District Court practice. He has taken and passed the following securities’ industry licensing examinations: Series 3, 4, 5, 7, 8, 15, 24, 27, 53, 63, 65 and 66.

Keith became a member of the International Association for Financial Planning in November of 1983, (a forerunner of the Financial Planning Association of Minnesota) and currently serves on the Ethics/Governmental Relations Committee.