

# Transition Program



Gradient Securities, LLC offers a suite of resources to help ensure your broker/dealer transition is seamless and successful. We will help you keep things on track before, during, and after your transition by providing you with a personal transition coordinator, transition event program tailored to your practice, and customized transition resources.

## PERSONAL TRANSITION ASSISTANCE

During your transition, you will work with an experienced and dedicated transition coordinator who is backed by a team devoted to quickly and efficiently transitioning you to Gradient Securities. In addition to your transition coordinator, we provide the following processes and services to give you the support you need for a smooth transition:

- Transition Plan and Checklist
- Client Transition Map
- Affiliation Process Guide
- Comprehensive Compliance and Operations Training
- Technology Training
- Guidance in Selecting Products and Platforms for Your Clients
- Training Events Designed to Help You Leverage Your Gradient Securities Partnership

## TRANSITION EVENT PROGRAM

The Transition Event Program is designed to help you hold a “signing event” where you gather your clients to tell them why you are transitioning to Gradient Securities, explain the benefits they will receive as a result of the change, and have them sign the transition paperwork. To prepare you for the event, you will receive:

- Transition Event Program Guide
- Client Invitation Mailer and Letter
- Four Week Invitation Drip Email Campaign
- Event Reminder Emails
- Registration Landing Page
- Customized PowerPoint Presentation

## CUSTOMIZED TRANSITION RESOURCES

We provide you with transition resources and services that enable you to shorten the transition period and quickly focus on generating new revenue for your practice. We have built effective, comprehensive and compliance-approved tools to assist you in executing your personalized transition plan:

- Proprietary KonnexMe Technology
- Integration with Electronically Fillable Forms
- Electronic Carrier Appointments
- Online Commission Dashboard
- Gradient Securities About Us Flier
- Transition Announcement Letters
- Personalized Compliance Disclosure
- Personalized Image and Credibility Package

The majority of these resources and services are complimentary. For the items that do entail a fee, you may be eligible for subsidization based on your proof of production. Call Gradient Securities at **866.991.1539** to discuss a personalized transition plan that meets your needs.